

# UCC FINANCING STATEMENT AMENDMENT

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF CONTACT AT FILER [optional]	
B. SEND ACKNOWLEDGMENT TO: (Name and Address)	

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1a. INITIAL FINANCING STATEMENT FILE #

1b. This FINANCING STATEMENT AMENDMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS.

2. ☐ **TERMINATION:** Effectiveness of the Financing Statement identified above is terminated with respect to security interest(s) of the Secured Party authorizing this Termination Statement.

3. ☐ **CONTINUATION:** Effectiveness of the Financing Statement identified above with respect to security interest(s) of the Secured Party authorizing this Continuation Statement is continued for the additional period provided by applicable law.

4. ☐ **ASSIGNMENT** (full or partial): Give name of assignee in item 7a or 7b and address of assignee in item 7c; and also give name of assignor in item 9.

5. **AMENDMENT (PARTY INFORMATION):** This Amendment affects ☐ Debtor **or** ☐ Secured Party of record. Check only one of these two boxes.

Also check one of the following three boxes and provide appropriate information in items 6 and/or 7.

☐ **CHANGE** name and/or address: Please refer to the detailed instructions in regards to changing the name/address of a party.

☐ **DELETE** name: Give record name to be deleted in item 6a or 6b.

☐ **ADD** name: Complete item 7a or 7b, and also item 7c; also complete items 7e-7g (if applicable).

6. **CURRENT RECORD INFORMATION:**

6a. ORGANIZATION'S NAME				
OR	6b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX

7. **CHANGED (NEW) OR ADDED INFORMATION:**

7a. ORGANIZATION'S NAME				
OR	7b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX

7c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY
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7d. <b>SEE INSTRUCTIONS</b>	ADD'L INFO RE ORGANIZATION DEBTOR	7e. TYPE OF ORGANIZATION	7f. JURISDICTION OF ORGANIZATION	7g. ORGANIZATIONAL ID #, if any
				<input type="checkbox"/> NONE

8. **AMENDMENT (COLLATERAL CHANGE):** check only one box.

Describe collateral ☐ deleted or ☐ added, or give entire ☐ restated collateral description, or describe collateral ☐ assigned.

9. **NAME OF SECURED PARTY OF RECORD AUTHORIZING THIS AMENDMENT** (name of assignor, if this is an Assignment). If this is an Amendment authorized by a Debtor which adds collateral or adds the authorizing Debtor, or if this is a Termination authorized by a Debtor, check here ☐ and enter name of **DEBTOR** authorizing this Amendment.

9a. ORGANIZATION'S NAME				
OR	9b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX

10. **OPTIONAL FILER REFERENCE DATA**

# Instructions for National UCC Financing Statement Amendment (Form UCC3)

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- ◆ Please type or laser-print this form. Be sure it is completely legible. Read all instructions, especially Instruction 1a; **using the correct file number of the initial financing statement is crucial**. Follow the instructions completely.
  - ◆ Fill in the form very carefully. Mistakes may have important legal consequences. If you have questions, consult your attorney. The filing office cannot give legal advice.
  - ◆ Do not write anything in the open space in the upper portion of this form. This space is reserved for filing office use.
  - ◆ An amendment may relate to only **one** financing statement. **Do not enter more than one filing number in item 1a**.
  - ◆ When properly completed, send the **filing office copy**, with the required fee, to the filing office.
  - ◆ If you would like a stamped copy of your amendment returned to you please send a copy along with the original.
  - ◆ The Montana Secretary of States Office requires at least one debtor and one secured party appear on the amendment form that agrees with our records. You may reflect the debtor name under Item 6 or 10. The secured party name may be reflected under Item 9. In addition, at least a typed 10 point font or higher is required when completing the amendment form.

1a. **File number:** Enter the number of the initial financing statement to which this amendment relates. Enter only ONE filing number.

1b. Check box 1b **only** if this amendment is to be filed or recorded in the real estate records. **Also**, enter the **Debtor's name** in the proper format (exactly identical to the format of item 1 of the financing statement) and the name of the record owner if the debtor does not have a record interest. Enter this information in **item 13** of the **Amendment Addendum**.

**NOTE:** Mark the type of amendment you are performing by checking the appropriate boxes in Items 2, 3, 4, and 5. In Item 5 you must check multiple boxes to indicate if you are amending the debtor or secured party and whether you are adding, changing or deleting the information.

2. To **Terminate** the effectiveness of the identified financing statement with respect to the security interest(s) of the authorizing **secured party**, check box 2. (*See instruction 9 below*) If this box is checked no other amendment type may be marked.

3. To **continue** the effectiveness of the identified financing statement with respect to the security interest(s) of the authorizing **secured party**, check box 3. (*See instruction 9 below.*)
4. To **assign**: (1) all of the assignor's interest under the identified financing statement, or (2) a partial interest in the security interest covered by the identified financing statement, or (3) the assignor's full interest in some (but not all) of the collateral covered by the identified financing statement:

- a.) Check the box in item 4.
- b.) Enter the name of the assignee in item 7a if the assignee is an organization, or in item 7b, formatted as indicated, if the assignee is an individual.

**Complete item 7a OR 7b, but NOT BOTH.**

- c.) Enter the assignee's address in item 7c.
- d.) Enter the name of the **assignor** in item 9.

If partial assignment affects only some (but not all) of the collateral covered by the identified financing statement, the filer may check the appropriate box in item 8 and indicate the affected collateral in item 8.

- 5, 6, 7. To **change the name and/or address** of a party: Check the box in item 5 to indicate whether this amendment amends information relating to a **debtor** or a **Secured Party**. Check the box in item 5 indicating a change. Enter the name of the affected party (use the current record name, in the case of a name change) in items 6a or 6b as appropriate. Also, give the new name (7a or 7b) and/or new address (7c) in item 7. If multiple debtors are reflected on the original you must be specific as to which debtor is being amended.
- 5, 6. To **delete** a party: Check the box in item 5 to indicate whether you are deleting a **Debtor** or a **Secured Party**. Also check the box in item 5 to indicate that this is a deletion of a party. Enter the name (6a or 6b) of the deleted party in item 6.
- 5, 7. To **add** a party: Check the box in item 5 to indicate whether you are adding a **Debtor** or a **Secured Party**. Also check the box in item 5 to indicate that this is the addition of a party. Enter all required information in item 7 (name in 7a or 7b, and address in 7c). If the added debtor is an organization, enter the additional debtor organization information in (7e-g).
8. **Collateral Change**. To change the collateral covered by the identified financing statement, describe the change in item 8. You may do this by either describing the collateral to be added or deleted, **OR** by setting forth the full collateral description as it is to be effective after the filing of this amendment. Check the appropriate box which clearly indicates the method you have chosen. (If the space in item 8 is insufficient, use item 13 of **Amendment Addendum (Form UCC3Ad)**). A partial release of collateral is a deletion. If, due to a full release of collateral, the filer no longer claims a security interest under the identified financing statement, check box 2 (termination) instead of box 8 (Collateral Change). If a partial assignment consists of the assignment of some (but not all) of the collateral covered by the identified financing statement, the filer may indicate the assigned collateral in item 8. Check the appropriate box in item 8, and also follow instruction 4 above.
9. Always enter the name of the party of record authorizing this amendment. (In most cases,

this will be a Secured Party of record.) If there is more than one authorizing Secured Party, give the additional names, properly formatted, in item 13 of **Amendment Addendum (Form UCC3Ad)**. If the indicated financing statement refers to the parties as lessee and lessor, consignee and consignor, or seller and buyer instead of Debtor and Secured Party, references in this Amendment shall be deemed likewise to refer to the parties. If this is an assignment, enter the assignor's name. If this is an amendment authorized by a debtor that adds collateral or adds a debtor, or if this is a termination authorized by a debtor, check the box in item 9 and enter the name, properly formatted, of the Debtor authorizing this amendment, and, if this amendment or termination is to be filed or recorded in the real estate records, also enter, in item 13 of Amendment Addendum, the name of the Secured Party of record.